

REPORT ON THE USE OF PEN REGISTERS AND  
TRAP AND TRACE DEVICES BY THE LAW ENFORCEMENT AGENCIES/OFFICES  
OF THE DEPARTMENT OF JUSTICE FOR CALENDAR YEAR 2000

| <u>PEN REGISTERS</u>                                       | FBI  | DEA  | INS | USMS | INSP GEN | TOTALS |
|--|------|------|-----|------|----------|--------|
| Original Orders  | 2888 | 1151 | 10  | 154  | 7        | 4210   |
| Extensions   | 3896 | 302  | 53  | 39   | 12       | 4302   |
| Number of investigations                                   | 2041 | 503  | 9   | 154  | 4        | 2711   |
| Number of Persons Whose Telephone Facilities Were Affected | 6784 | 1151 | 21  | 115  | 8        | 8079   |

---

| <u>TRAP AND TRACE DEVICES</u>                              | FBI  | DEA | INS | USMS | INSP GEN | TOTALS |
|--|------|-----|-----|------|----------|--------|
| Original Orders  | 1656 | 144 | 10  | 58   | 1        | 1869   |
| Extensions   | 1861 | 41  | 51  | 4    | 3        | 1960   |
| Number of Investigations                                   | 1170 | 49  | 9   | 58   | 2        | 1288   |
| Number of Persons Whose Telephone Facilities Were Affected | 3517 | 144 | 15  | 58   | 3        | 3737   |

# Memorandum



To : Assistant Attorney General                      Date February 21, 2001  
Criminal Division  
(Attention: Frederick D. Hess, Director  
Office of Enforcement Operations)

From : Assistant Director *7/15/73*  
Laboratory Division

Subject: PEN REGISTER AND TRAP/TRACE DEVICES  
LEGISLATION AND REPORT REQUIREMENTS

Reference is made to Assistant Attorney General, Criminal Division, letter dated November 24, 1987, titled "Pen Register and Trap and Trace Devices-New Legislation and Report Requirements."

In accordance with the referenced communication and the provisions of the Electronic Communications Privacy Act of 1986, the Federal Bureau of Investigation is providing the enclosed report.

As a point of clarification, the phrase, "number of persons whose telephone facilities were affected" was interpreted as the number of lines intercepted. The category "number of original applications and extensions for this violation" contains the number of original and extensions of lines for that violation.

Enclosure

AGENCY: Federal Bureau of Investigation

Pen Register Applications - Calendar Year 2000  
(January 1 - December 31, 2000)

|  |      |
|--|------|
| Number of Original Orders                                  | 2888 |
| Number of Extensions                                       | 3896 |
| Number of Investigations Involved                          | 2041 |
| Number of persons Whose Telephone Facilities were Affected | 6784 |

LIST OF THE VIOLATIONS FOR WHICH  
PEN REGISTERS WERE SOUGHT

| Statutory Citation                  | Principal Violation                                 | Number of Original Applications and Extensions for this Violation |        |
|-------------------------------------|---|---|--------|
| 1 (21 USC § 801 et seq.)            | Organized Crime Drug Enforcement Task Force         | (827)   | (1116) |
| 2 (18 USC § 1073)                   | Unlawful Flight to Avoid Prosecution                | (113)   | ( 58)  |
| 3 (18 USC § 1005)                   | Financial Institution Fraud                         | ( 47)   | ( 64)  |
| 4 (18 USC Chap. 41)                 | Extortion   | ( 12)   | ( 1)   |
| 5 (18 USC § 2113)                   | Bank Robbery  | ( 11)   | ( 0)   |
| 6 (18 USC § 3122)                   | Atomic Energy Act                                   | ( 5)  | ( 5)   |
| 7 (18 USC § 1084)                   | Interstate Gambling Activities                      | ( 1)  | ( 1)   |
| 8 (18 USC § 1201-1203)              | Kidnapping  | ( 18)   | ( 0)   |
| 9 (18 USC § 2314-2315)              | Interstate Transportation of Stolen Property        | (26)  | ( 80)  |
| 10 (18 USC § 1955)                  | Illegal Gambling Business                           | ( 41)   | ( 48)  |
| 11 (18 USC § 1952)                  | Interstate Transportation in Aid of Racketeering    | (234)   | ( 298) |
| 12 (18 USC § 892-894)               | Extortionate Credit Transactions                    | ( 4)  | ( 0)   |
| 13 (18 USC Chap. 40)                | Actual or Attempted Bombings                        | ( 1)  | ( 3)   |
| 14 (18 USC § 1962, 1963)            | Racketeering Enterprise Investigation               | ( 91)   | ( 58)  |
| 15 (18 USC § 2312)                  | Interstate Transportation of a Stolen Motor Vehicle | ( 28)   | ( 30)  |
| 16 (18 USC § 1951)                  | Hobbs Act-Armored Carrier                           | ( 9)  | ( 2)   |
| 17 (18 USC § 659, 2315, 3122, 3123) | Theft from Interstate Shipment                      | ( 5)  | ( 0)   |
| 18 (18 USC Chap. 73)                | Obstruction of Justice                              | ( 2)  | ( 0)   |

|  |  |        |        |
|--|--|--------|--------|
| 19(18 USC § 115, 371, )<br>844(F) 1361, 1871-72,<br>1958                       | ) Acts of Terrorism in the<br>United States -<br>International Terrorism | ( 19)  | ( 35)  |
| 20(18 USC § 3123   | ) Acts of Terrorism in the<br>United States-<br>Domestic Terrorism       | ( 49)  | ( 105) |
| 21(21 USC § 841 (a) 1, )<br>846  | ) Automation Matters   | ( 1)   | ( 0)   |
| 22(18 USC § 201, 371 )<br>1001,<br>21 USC § 841, 846                           | ) Corruption of Federal<br>Public Officials -<br>Executive Branch        | ( 25)  | ( 44)  |
| 23(18 USC § 1952, 1956,) )<br>1957,3122<br>21 USC § 841(a) (1),<br>843(d), 846 | ) Money Laundering   | ( 23)  | ( 42)  |
| 24(18 USC § 1951, 1955 )<br>1961, 3122, 3123<br>21 USC § 841, 843,<br>846      | ) Organized Crime Drug<br>Investigations                                 | ( 895) | (1167) |
| 25(18 USC § 641  | ) Theft of Government<br>Property  | ( 1)   | ( 0)   |
| 26(18 USC § 1962   | ) Corruption of Federal<br>Public Officials-<br>Federal Bribery          | ( 3)   | ( 25)  |
| 27(18 USC § 1962   | ) Labor Racketeering   | ( 18)  | ( 6)   |
| 28(18 USC § 3123,<br>1343, 3146, 1071,<br>2315, 371, 1341                      | ) Telemarketing Fraud  | ( 7)   | ( 1)   |
| 29(18 USC § 1341, 1343 )<br>3146 (a)   | ) Insurance Fraud  | ( 6)   | ( 5)   |
| 30(18 USC § 1341, 1503 )<br>1956, 1957, 1343,<br>401                           | ) Securities and<br>Commodities Fraud                                    | ( 22)  | ( 16)  |
| 31(18 USC § 1073, 1503 )<br>371, 1341  | ) Other National Fraud   | ( 10)  | ( 34)  |
| 32(21 USC § 841 (a) 1 )  | ) Indian Country   | ( 1)   | ( 1)   |
| 33(18 USC § 1341, 1343 )<br>21 USC § 841 (a),<br>846                           | ) Fraud Against the<br>Government-<br>DOD Official                       | ( 4)   | ( 24)  |
| 34(26 USC § 7201   | ) Fraud Against the<br>Government-<br>DOT Official                       | ( 7)   | ( 26)  |
| 35(18 USC § 666, 1341 )<br>1343, 841 (a) (1),<br>846, 503, 3122                | ) Health Care Fraud  | ( 51)  | ( 96)  |
| 36(18 USC § 241, 242 )   | ) Civil Rights-Color of Law  | ( 5)   | ( 3)   |
| 37(18 USC § 3122, 3123 )   | ) Freedom of Access to<br>Clinic Entrances-<br>Act of 1994               | ( 8)   | ( 68)  |
| 38(18 USC § 1030, 1029 )   | ) Computer and<br>Infrastructure Threat<br>Assessment                    | ( 6)   | ( 0)   |
| 39(18 USC § 1341, 201 )  | ) Fraud Against the<br>Government-Other                                  | ( 3)   | ( 0)   |

|   |  |       |        |
|---|--|-------|--------|
| 40(18 USC § 371, 793 )  | Espionage  | ( 11) | ( 8)   |
| 41(18 USC § 1201 )  | Crime on Government<br>Reservation                             | ( 9)  | ( 7)   |
| 42(18 USC § 3122 )  | IPR Infringement   | ( 2)  | ( 0)   |
| 43(18 USC § 3122, 2703 )  | Mail Fraud   | ( 1)  | ( 0)   |
| 44(18 USC § 2, 2251,<br>2252 )                                    | Innocent Images National<br>Initiative                         | ( 4)  | ( 1)   |
| 45(18 USC § 3146 )  | Bankruptcy Fraud   | ( 4)  | ( 0)   |
| 46(18 USC § 3123,<br>50 USC § 1701 )                              | Hostage Taking -<br>Non-Terrorism Related                      | ( 0)  | ( 1)   |
| 47(18 USC § 1951 )  | Hobbs Act - Commercial<br>Institutions                         | ( 3)  | ( 2)   |
| 48(18 USC § 1951 )  | Hobbs Act - Labor<br>Related                                   | ( 10) | ( 0)   |
| 49(18 USC § 841 )   | Fraud Against the<br>Government - HUD                          | ( 0)  | ( 3)   |
| 50(18 USC § 837 (b) )   | Civil Rights   | ( 5)  | ( 3)   |
| 51(Attorney General<br>Guidelines Per 28<br>USC § 509, 510, 533 ) | Domestic Security<br>Investigations                            | ( 13) | ( 14)  |
| 52(18 USC § 3122 )  | Discrimination in<br>Housing                                   | ( 3)  | ( 0)   |
| 53(18 USC § 3122 )  | Involuntary Servitude<br>and Slavery                           | ( 1)  | ( 0)   |
| 54(18 USC § 2332 (a) )  | Use, Possession,<br>Transfer, Production,<br>Transport of WMD  | ( 3)  | ( 1)   |
| 55(15 USC § 1 )   | Antitrust  | ( 7)  | ( 9)   |
| 56(18 USC § 3123 )  | Domestic Police<br>Cooperation                                 | ( 2)  | ( 0)   |
| 57(18 USC § 115 (a) (1) )   | Conspiracy to Impede<br>or Injure a Federal<br>Officer         | ( 1)  | ( 1)   |
| 58(18 USC § 1791 )  | Irregularities in<br>Federal Penal<br>Institutions             | ( 2)  | ( 0)   |
| 59(18 USC § 186, 1962 )   | Corruption of State and<br>Local Public Officials              | (157) | ( 378) |
| 60(18 USC § 1071 )  | Crime Aboard Aircraft  | ( 1)  | ( 0)   |
| 61(18 USC § 3122 )  | Corruption Federal<br>Public Officials -<br>Legislative Branch | ( 1)  | ( 2)   |
| 62(18 USC § 371 )   | Escaped Federal Prisoner                                       | ( 1)  | ( 0)   |
| 63(18 USC § 2113 (b) )  | Bank Burglary  | ( 5)  | ( 0)   |
| 64(18 USC § 841 (a) 1, )  | RICO   | ( 3)  | ( 4)   |

AGENCY: Federal Bureau of Investigation

Trap and Trace Applications - Calendar Year 2000  
(January 1 - December 31, 2000)

|  |      |
|--|------|
| Number of Original Orders                                  | 1656 |
| Number of Extensions                                       | 1861 |
| Number of Investigations Involved                          | 1170 |
| Number of Persons Whose Telephone<br>Dialing were Affected | 3517 |

LIST OF THE VIOLATIONS FOR WHICH  
TRAP AND TRACE WERE SOUGHT

| Statutory Citation   | Principal Violation   | Number of Original<br>Applications and<br>Extensions for this<br>Violation |       |
|--|---|--|-------|
| 1 (21 USC § 801 et seq.)                                   | Organized Crime Drug<br>Enforcement Task Force                    | (477)  | (611) |
| 2 (18 USC § 1073 )   | Unlawful Flight to Avoid<br>Prosecution                           | (100)  | ( 82) |
| 3 (18 USC Chap. 41 )                                       | Extortion   | ( 16)  | ( 5)  |
| 4 (18 USC § 2113 )   | Bank Robbery  | ( 12)  | ( 0)  |
| 5 (Atty. Gen. Guidelines)<br>Per 28 USC § 509, 510,<br>533 | Domestic Security<br>Investigations                               | ( 2)   | ( 1)  |
| 6 (18 USC § 1201-1203 )                                    | Kidnapping  | ( 17)  | ( 4)  |
| 7 (18 USC § 2314-2315 )                                    | Interstate Transportation<br>of Stolen Property                   | ( 10)  | ( 15) |
| 8 (18 USC § 1952 )   | Interstate Transportation<br>in Aid of Racketeering               | (132)  | (124) |
| 9 (18 USC § 115 )  | Assaulting or Killing a<br>Federal Officer                        | ( 1)   | ( 0)  |
| 10 (18 USC Chap. 40 )                                      | Actual or Attempted<br>Bombings                                   | ( 18)  | ( 3)  |
| 11 (18 USC § 1955 )  | Illegal Gambling<br>Business                                      | ( 26)  | ( 30) |
| 12 (18 USC § 3123 )  | Acts of Terrorism in the<br>United States -<br>Domestic Terrorism | ( 28)  | ( 63) |
| 13 (18 USC § 1005 )  | Financial Institution<br>Fraud                                    | ( 41)  | ( 47) |
| 14 (18 USC § 3122 )  | Atomic Energy Act   | ( 5)   | ( 5)  |
| 15 (18 USC § 659, 2315,<br>3122, 3123 )                    | Theft from Interstate<br>Shipment                                 | ( 2)   | ( 0)  |
| 16 (18 USC § 1951 )  | Hobbs Act - Armored<br>Carrier                                    | ( 5)   | ( 2)  |

|   |              |  |                  |                  |
|---|--------------|--|------------------|------------------|
| 17(18 USC § 115, 371, )<br>844(F) 1361, 1871-72<br>1958                       | )            | Acts of Terrorism in the<br>United States -<br>International Terrorism | ( 28)            | ( 31)            |
| 18(18 USC § 2312  | )            | Interstate Transportation<br>of Stolen Motor Vehicle                   | ( 5)             | ( 5)             |
| 19(18 USC § 1952, 1956 )<br>1957, 3122<br>21 USC § 841(a) (1),<br>843(d), 846 | )            | Money Laundering   | ( 11)            | ( 9)             |
| 20(18 USC § 1951,<br>1955, 1961, 3122,<br>3123<br>21 USC § 841, 843,<br>846   | )            | Organized Crime Drug<br>Investigations                                 | ( 452)           | ( 550)           |
| 21(18 USC § 201   | )            | Corruption of Federal<br>Public Officials -<br>Executive Branch        | ( 17)            | ( 40)            |
| 22(18 USC Chap. 73  | )            | Obstruction of Justice   | ( 2)             | ( 0)             |
| <del>23(18 USC § 1962, 1963</del>   | <del>)</del> | <del>Racketeering Enterprise<br/>Investigation</del>                   | <del>( 41)</del> | <del>( 19)</del> |
| 24(18 USC § 1023-1024   | )            | Fraud Against the<br>Government - DOD                                  | ( 0)             | ( 24)            |
| 25(18 USC § 371, 1951 )<br>1956-57, 1341, 1343                                | )            | Fraud Against the<br>Government - DOT                                  | ( 5)             | ( 26)            |
| 26(18 USC § 3122  | )            | Interstate Obscene or<br>Harassing Telephone<br>Calls                  | ( 1)             | ( 1)             |
| 27(18 USC § 3123, 1343, )<br>3146, 1071, 2315,<br>371, 1341                   | )            | Telemarketing Fraud  | ( 9)             | ( 0)             |
| 28(18 USC § 1341, 1503, )<br>1956, 1957, 1343, 401                            | )            | Securities and Commodities<br>Fraud                                    | ( 13)            | ( 1)             |
| 29(18 USC § 1073, 1503, )<br>371, 1341  | )            | Other National Fraud   | ( 3)             | ( 4)             |
| 30(18 USC § 841 (a) (1))  | )            | Indian Country   | ( 1)             | ( 1)             |
| 31(18 USC § 666, 1341, )<br>841 (a) (1), 846,<br>503, 3122                    | )            | Health Care Fraud  | ( 19)            | ( 6)             |
| 32(18 USC § 241, 242  | )            | Civil Rights - Color<br>of Law   | ( 3)             | ( 3)             |
| 33(18 USC § 1962  | )            | Corruption of Federal<br>Public Officials -<br>Federal Bribery         | ( 3)             | ( 18)            |
| 34(18 USC § 1962  | )            | Labor Racketeering   | ( 17)            | ( 5)             |
| 35(18 USC § 1030, 1029, )<br>371  | )            | Computer Investigations<br>Threat Analysis                             | ( 9)             | ( 0)             |
| 36(18 USC § 111   | )            | Conspiracy to Impede or<br>Injure a Federal<br>Officer                 | ( 4)             | ( 0)             |
| 37(18 USC § 1951  | )            | Hobbs Act - Commercial<br>Institutions                                 | ( 0)             | ( 2)             |
| 38(18 USC § 837 (b)   | )            | Civil Rights   | ( 3)             | ( 2)             |

|  |   |            |            |
|--|---|------------|------------|
| 39(18 USC § 3122, 3123 )               | Freedom of Access to<br>Clinic Entrances -<br>Act of 1994     | ( 0)       | ( 2)       |
| 40(15 USC § 1 )                        | Antitrust   | ( 4)       | ( 8)       |
| 41(18 USC § 371, 793 )                 | Espionage   | ( 3)       | ( 1)       |
| 42(18 USC § 3122 )                     | Discrimination in<br>Housing                                  | ( 5)       | ( 0)       |
| 43(18 USC § 841 (a) 1, )<br>846        | RICO  | ( 3)       | ( 1)       |
| 44(18 USC § 186, 1962 )                | Corruption of State and<br>Local Public Officials             | ( 65)      | (109)      |
| 45(18 USC § 1951 )                     | Hobbs Act - Labor Related                                     | ( 10)      | ( 0)       |
| 46(18 USC § 1341, 1343, )<br>3146 (a)  | Insurance Fraud   | ( 2)       | ( 0)       |
| 47(18 USC § 844 (e), )<br>1365 (c) (1) | Tampering with Consumer<br>Products                           | ( 3)       | ( 0)       |
| 48(18 USC § 2332 (a) )                 | Use, Possession,<br>Transfer, Production,<br>Transport of WMD | ( 3)       | ( 1)       |
| <hr/> 49(18 USC § 3122 )               | <hr/> IPR Infringement -<br>Copyright                         | <hr/> ( 2) | <hr/> ( 0) |
| 50(26 USC § 5861, 5871 )               | CT Preparedness - Special<br>Events                           | ( 2)       | ( 0)       |
| 51(18 USC § 2, 2251, )<br>2252         | Innocent Images National<br>Initiative                        | ( 2)       | ( 0)       |
| 52(18 USC § 3122, 2703 )               | Mail Fraud  | ( 1)       | ( 0)       |
| 53(18 USC § 14512 )                    | Firearms Act  | ( 1)       | ( 0)       |
| 54(18 USC § 3122 )                     | Involuntary Servitude<br>and Slavery                          | ( 1)       | ( 0)       |
| 55(18 USC § 3123 )                     | Domestic Police<br>Cooperation                                | ( 3)       | ( 0)       |
| 56(18USC § 371 )                       | Escaped Federal Prisoner                                      | ( 3)       | ( 0)       |
| 57(18USC § 2113 (b) )                  | Bank Burglary   | ( 5)       | ( 0)       |



U. S. Department of Justice  
Drug Enforcement Administration

---

Washington, D. C. 20537

SEP 8 2004

Honorable Christopher A. Wray  
Assistant Attorney General  
Criminal Division  
Washington, D.C. 20530

---

Dear Mr. Wray:

In accordance with your memorandum of August 6, 2004, requesting the Drug Enforcement Administration's Pen Register and Trap and Trace Reports for the calendar years 2000 through June 30, 2004, I have enclosed these reports.

Thank you for your patience in this matter.

Sincerely,

*Mary Irene Cooper*  
for *Mary Irene Cooper*  
Mary Irene Cooper  
Special Agent in Charge  
Special Operations Division

Enclosures

AGENCY Drug Enforcement Administration

Pen Register Applications - Calendar Year 2000  
(January 1 - December 31, 2000)

Number of Original Orders 1151

Number of Extensions 302

Number of Investigations Involved 503

Number of Persons Whose  
Telephone Dialings Were Affected 1151

---

LIST OF THE VIOLATIONS FOR WHICH  
PEN REGISTERS WERE SOUGHT

| Statutory Citation | Principal Violation              | Number of Original<br>Applications & Extensions |
|--------------------|----------------------------------|---|
| 1 (21 USC 841 )    | <u>Distribution of Narcotics</u> | ( 930 ) (123 )                                  |
| 2 (21 USC 846 )    | <u>Conspiracy to Distribute</u>  | ( 234 ) ( 35 )                                  |
| 3 (21 USC 848 )    | <u>CCE</u>                       | ( 22 ) ( 3 )                                    |
| 4 (21 USC 952 )    | <u>Importation of Narcotics</u>  | ( 42 ) ( 10 )                                   |
| 5 (18 USC 1956)    | <u>Money laundering</u>          | ( 39 ) ( 15 )                                   |





U.S. Department of Justice  
Immigration and Naturalization Service

HQINV 50/3.4

425 I Street NW  
Washington, DC 20536

March 8, 2001

MEMORANDUM FOR FREDERICK D. HESS  
OFFICE OF ENFORCEMENT OPERATIONS  
CRIMINAL DIVISION

FROM: Joseph R. Greene *J. Greene*  
Acting Director, Investigations  
Office of Field Operations

SUBJECT: Pen Register and Trap & Trace Report Calendar Year 2000

Pursuant to the requirement of Title III of the Electronics Communications Privacy Act of 1986, and a memorandum from your office dated November 24, 1987, the attached reports summarize the use of pen registers and trap & trace devices by the Immigration and Naturalization Service for the calendar year of 2000.

Attachment